

Advancing Secure, Trustworthy, and Energy-Efficient Al for Science and Technology: A view from ORNL's Al Initiative

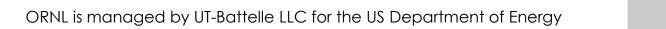
Prasanna Balaprakash

Director of Al Programs

Oak Ridge National Laboratory

Solar Applications of Artificial Intelligence and Machine Learning Workshop

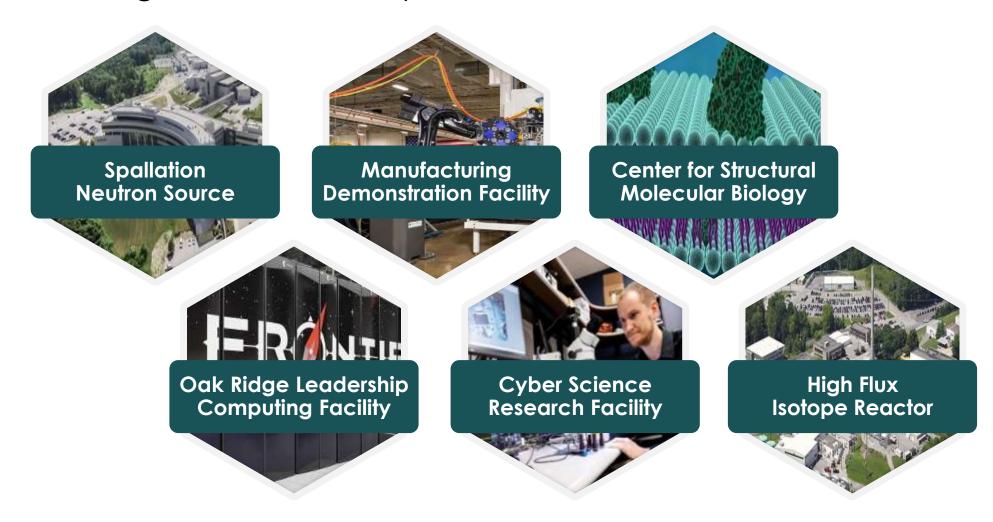
October 31, 2023





DOE and ORNL mission Al applications

Accelerating scientific discovery, fortifying energy infrastructure, and enhancing national security



Grand challenges in AI for science and security









EXECUTIVE OFFICE OF THE PRESIDENT WASHINGTON, D.C. 20503



August 17, 2023

M-23-20

MEMORANDUM FOR THE HEADS OF EXECUTIVE DEPARTMENTS AND AGENCIES

FROM:

SHALANDA D. YOUNG Challe D. Yang

OFFICE OF MANAGEMENT AND BUDGET

ARATI PRABHAKAR Quat Prall

OFFICE OF SCIENCE AND TECHNOLOGY POLICY

SUBJECT:

Multi-Agency Research and Development Priorities for the FY 2025 Budget

Our Nation has immense aspirations today: achieving robust health and ample opportunity for each person in every community; overcoming the climate crisis by reimagining our infrastructure, restoring our relationship with nature, and securing environmental justice; sustaining global security and stability; building a competitive economy that creates good-paying jobs; realizing the benefits of artificial intelligence while managing its risks; and fostering a strong, resilient, and thriving democracy. The purpose of public science, technology, and innovation is to open doors to make these aspirations possible.

Multi-Agency Priority Guidance

Advance trustworthy artificial intelligence (AI) technology that protects people's rights and safety, and harness it to accelerate the Nation's progress. Al is one of the most powerful

will have important consequences for civil rights and civil liberties, safety and security, jobs and the economy, and democratic values. The federal government plays multiple essential roles, including mitigating AI risks and using AI technology to better deliver on the wide range of government missions, advance solutions to the Nation's challenges that other sectors will not address on their own, and tackle large societal challenges. Agency submissions should fund R&D activities to support and fulfill multiple critical purposes:

- Build tools, methods, and community engagement to guide the design of regulatory and enforcement regimes for mitigating AI threats to truth, trust, and democracy; safety and security; privacy, civil rights and civil liberties; and economic opportunity for all.
- Design, pilot, and assess the results of new approaches to apply AI to improve government
- Develop trustworthy, powerful advanced AI systems that help achieve the Nation's great aspirations.

POLITICO

EXCLUSIVE

Sweeping new Biden order aims to alter the AI landscape

The White House is poised to make an all-hands effort to impose national rules on a fastmoving technology, according to a draft executive order.



President Joe Biden's new guidelines will give federal agencies influence in the US market through their buying power and their enforcement tools. I Jacquelyn Martin/AP

By MOHAR CHATTERJEE unit RESECCA KERN 10/27/2023 07:27 PM EDT Updated: 10/27/2023 08 04 PM EDT







President Joe Biden will deploy numerous federal agencies to monitor the risks of artificial intelligence and develop new uses for the technology while attempting to protect workers, according to a draft executive order obtained by POLITICO.



Paradox of AI development and challenges

Easy to demo but hard in production

Hard problems are easy and the easy problems are hard

Ever growing open research problems

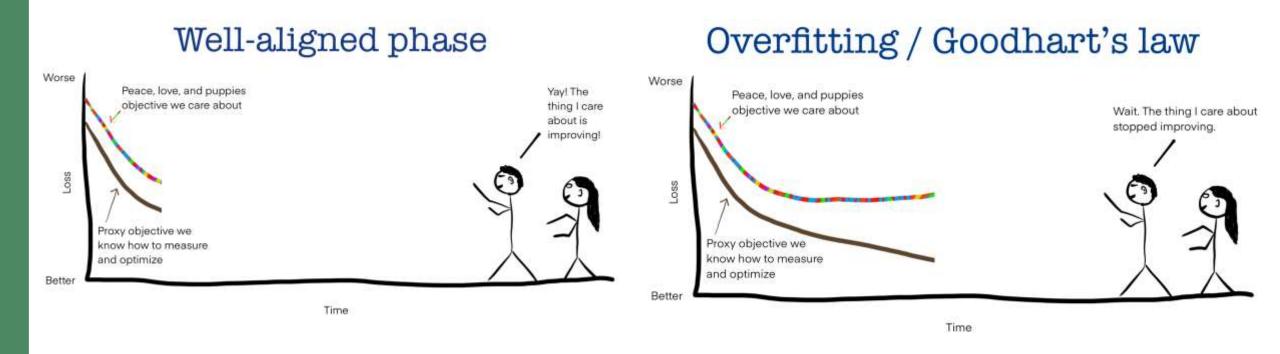
Humans remain a roadblock

Unique challenges with cyber-physical systems



Paradox of efficiency: Goodhart's law

Too much efficiency makes everything worse





Alignment



Alignment: Ensuring that Al systems' goals and behaviors align with science and human values and intentions

Importance: Prevent potential harmful consequences of Al actions that could result from misalignment

Challenges: Defining human values, transferring these values to AI, and allowing for value learning and adaptation over time

Continuous Effort: Continuous effort as AI evolves and as societal values change

Driving safely on the road to AI implementation: Guardrails for responsible AI use



Destination (Objective): Effective Decision Making, Predictive Analysis, Automated Operations, and Improved Efficiency



Obstacles (Challenges): Bias, Misuse, Lack of Understanding, Complexity

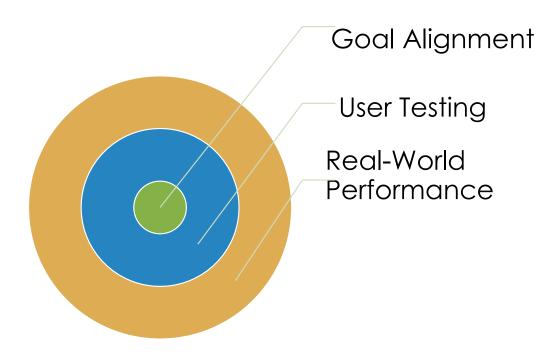


Guardrails (Safety measures): Ethics, Transparency, Privacy, Fairness, Security

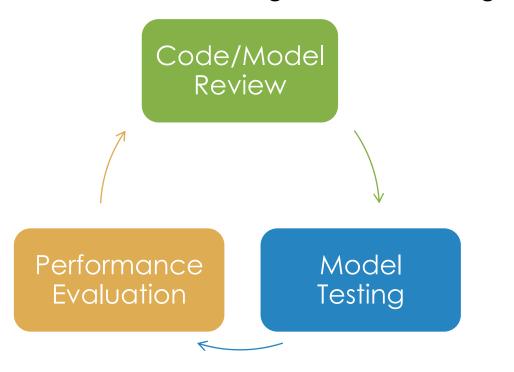


Quality assurance in AI: Ensuring we're not only building the AI product right but also building the right AI product

Validation: Building the Right AI Product

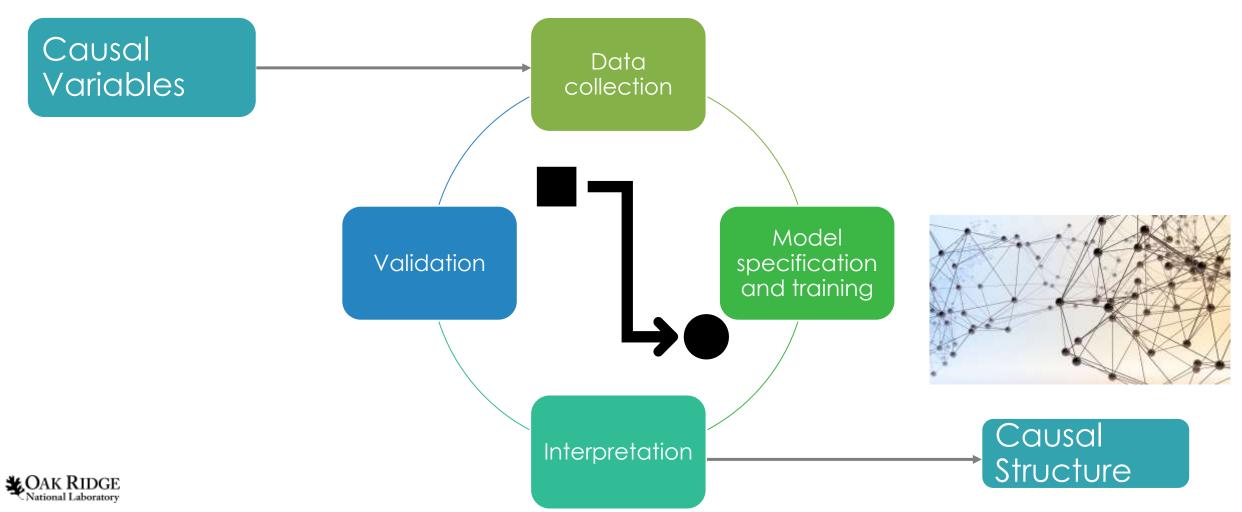


Verification: Building the AI Product Right

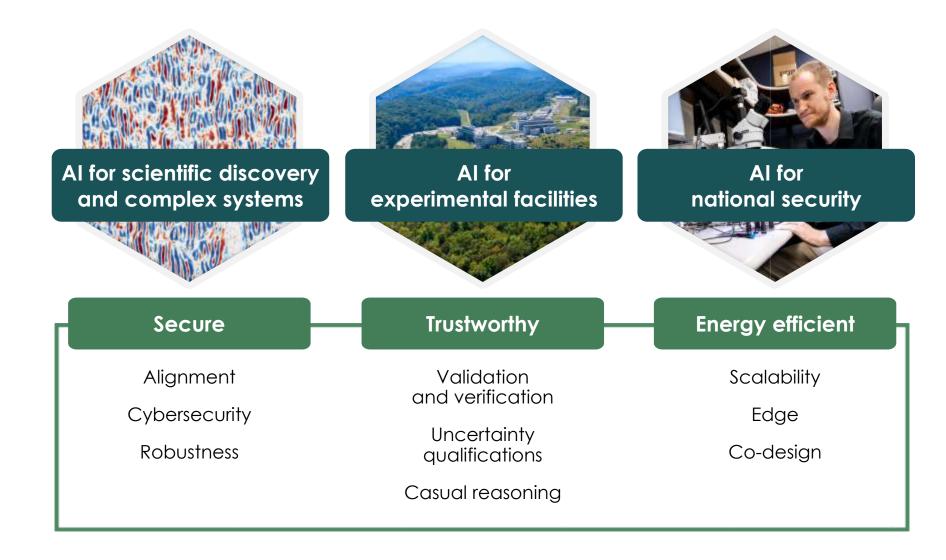


Moving beyond correlations: Causal modeling for predictive and explanatory power

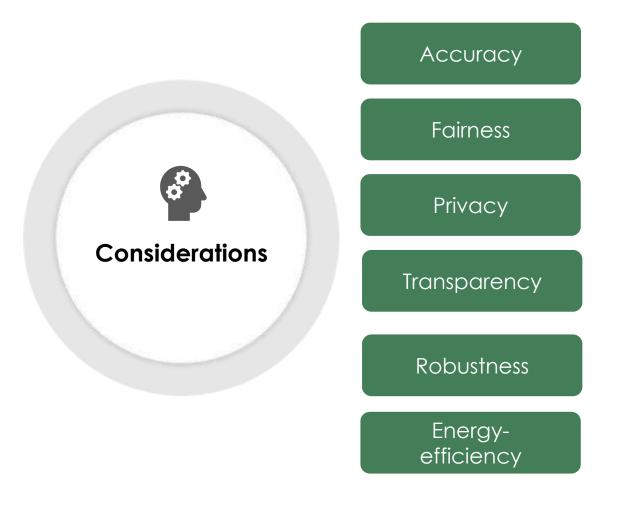
uncover why something happens, not just what happens next

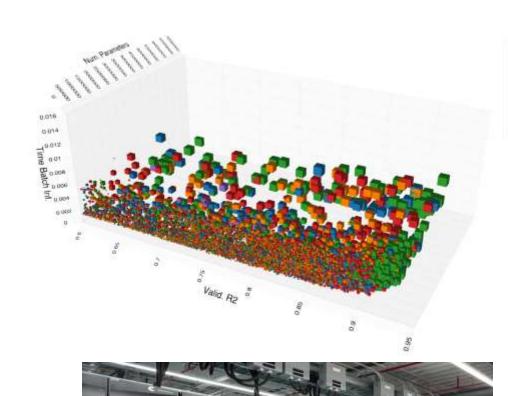


ORNL's Al initiative Secure, trustworthy, and energy-efficient Al



Safe AI: Goal and behavior alignment with science, human values, and intentions







CAISER – Center for AI SEcurity Research

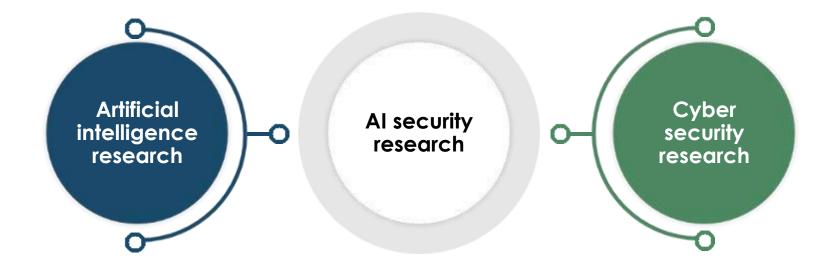
National center of excellence with strong leadership:

Leading-edge NS programs

Al initiative

Computing excellence

Computing resources



Safeguarding Al systems against threats



Safeguarding AI data and models from unauthorized access



Understanding and addressing data and model poisoning



Consistent monitoring and auditing of Al operations and frameworks



Establishment of mitigation strategies (Secure data management and robust training methodologies)



Assurance: Reliable, Robust, and Safe Al

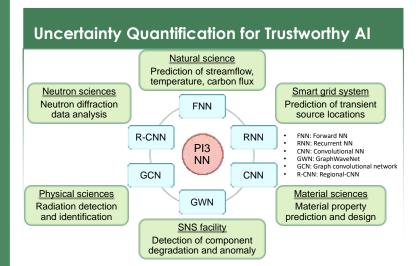
Assurance

Uncertainty
Quantification
(UQ)

Verification & Validation (V&V)

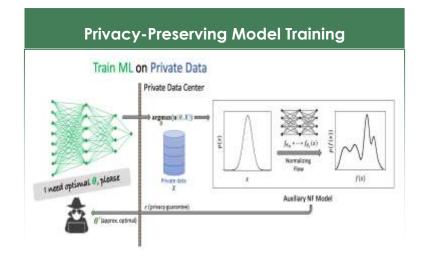
Explainability & Interpretability

Privacy

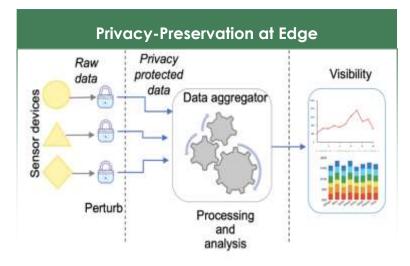


reliable and scalable uncertainty quantification methods for DOE mission area

OAK RIDGE



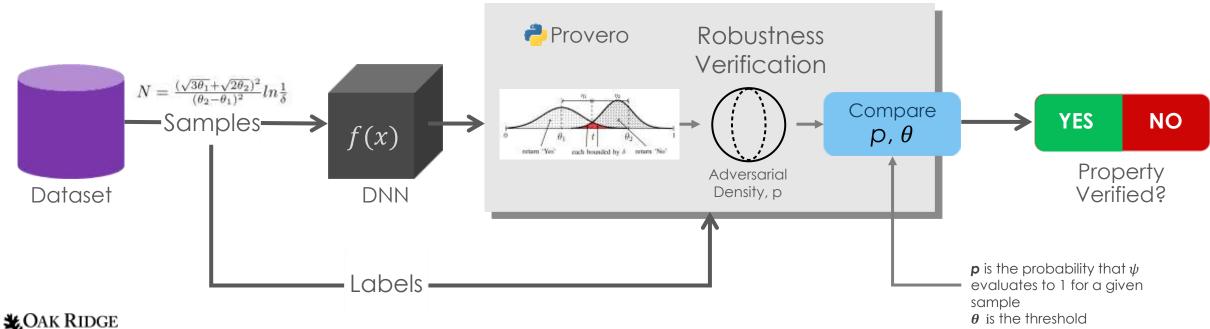
train and release ML models on a private dataset with a formal privacy guarantee



automatic privacy-preservation of streaming data on edge such as smart grid

Validation and verification

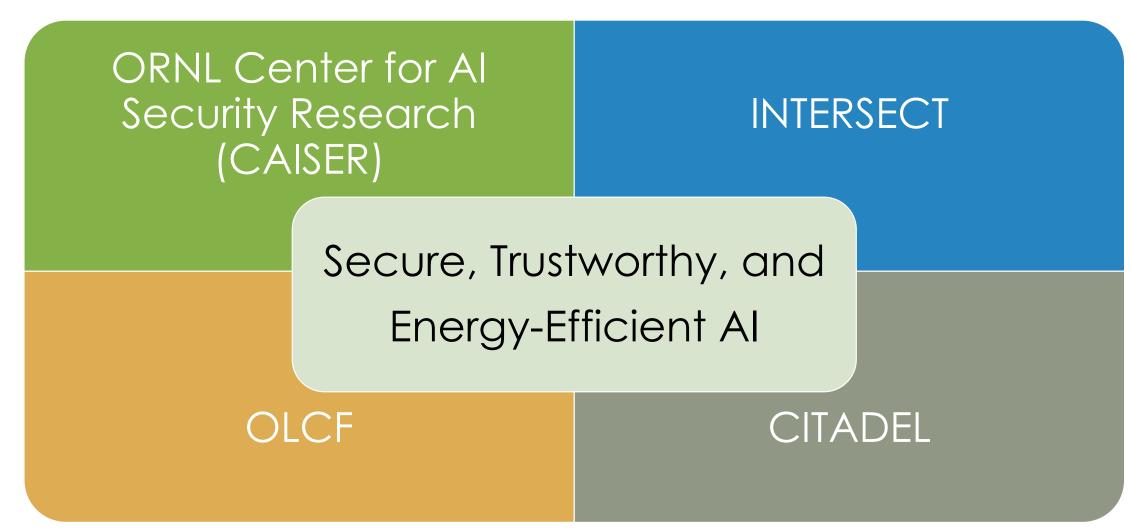
- Sampling-based approach to quantitatively estimate properties for deep neural networks (DNN) with probabilistic guarantees
 - Given a logical property ψ specified over a space of inputs and outputs of a DNN and a numerical threshold θ , decide whether ψ is true for less than θ fraction of the inputs
 - Assumes only black box access
 - Provides quantitative verification of properties like fairness, privacy, and robustness
 - Verification is sound when ψ is confirmed to be true, it can be deduced mathematically



ORNL's Al initiative

Secure, trustworthy, and energy-efficient Al

The AI Initiative leverage and enhance ORNL's existing facilities and capabilities





Al workshop series

ORNL's Generative AI Workshop Series: 2nd Workshop Towards Safe, Trustworthy, and Energy-Efficient AI Models

Co-located with the Smoky Mountain Conference 2023
Tuesday, August 29th 2023
Crowne Plaza Hotel, Knoxville Downtown, TN
Hybrid event



ORNL's Al initiative Secure, trustworthy, and energy-efficient Al

